

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Kazuhiro SAKATA, et al.  
Title: COMMUNICATION SYSTEM AND TRANSFER CONTROL  
METHOD TOGETHER WITH TELEPHONE DEVICE,  
COMMUNICATION DEVICE, AND PROGRAM USED FOR SAME  
Appl. No.: Unassigned  
International 05/18/2005  
Filing Date:  
371(c) Date: 10/11/2006  
Examiner: Unassigned  
Art Unit: Unassigned

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

**RELEVANCE OF EACH DOCUMENT**

Document A1 is a U.S. counterpart of Document A3.

Document A2 relates to an information distribution system by a plurality of networks which improve the reliability of provided information distribution and charging processing by making the connection of a telephone line with which a user device is easily specified a condition for authentication and meanwhile can superimpose a charge involved in the distribution of provided information upon a telephone bill for charging by distribution the provided information through a different mass network.

Document A4 relates to an information providing system and information providing method to reduce the utility charge of users and the facility cost of service providers.

Document A5 relates to a server system and communication data transmission method to provide a server machine that reduces a call tariff in the case of making a speech between unspecified talkers on the basis of a specific topic.

Document A6 relates to an exchange system and incoming call control method for operating proper processing to a transmitted call to a subscriber according to the line use configuration of a subscriber's side housed in a switchboard.

The relevance of Documents A7 and A8 are described in the present specification.

Document A3, listed on the attached PTO/SB/08, was cited as being relevant during the prosecution of the corresponding International application. A copy of the International Search Report is attached setting forth the portion of each document considered relevant by

the examiner. An English translation of the foreign-language document is readily available; however, the absence of a translation or an English-language counterpart document does not relieve the PTO from its duty to consider any submitted document (37 CFR §1.98 and MPEP §609). English language abstracts are attached.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date: October 11, 2006

By 

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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Date Submitted: October 11, 2006 <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Unassigned
				Filing Date	05/18/2005
				First Named Inventor	Kazuhiro SAKATA
				Group Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet 1 of 1				Attorney Docket Number	047912-0170

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	2003/0048892	A1	MURAI	03-13-2003	

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document		Name of Patentee or Applicant of Cited Document	Filing Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Serial Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	A2	JP	2003-234851	A	NIPPON TELEGRAPH & TELEPHONE EAST CORP.	08-22-2003		A
	A3	JP	2003-087835	A	TOSHIBA CORP.	03-20-2003		A
	A4	JP	2002-359687	A	TOSHIBA CORP.	12-13-2002		A
	A5	JP	2002-142023	A	KUBO YUKIO	05-17-2002		A
	A6	JP	2001-053880	A	OKI ELECTRIC IND. CO.	02-23-2001		A
	A7	JP	03-044147		TOSHIBA CORP.	02-26-1991		A
	A8	JP	02-260739		NAKAYO TELE. INC.	10-23-1990		A

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.